

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF WEST VIRGINIA**

**Cheryl Dean Riley**  
Clerk of Court

**OFFICE OF THE CLERK OF COURT  
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**Michelle Widmer-Eby**  
Chief Deputy Clerk

December 30, 2021

Via CM/ECF  
Miles Kilpatrick Hawthorne  
c/o James G. Bordas, Jr.  
Bordas & Bordas, PLLC  
1358 National Rd  
Wheeling, WV 26003  
and  
Jason E. Causey  
Bordas & Bordas, PLLC  
1358 National Rd  
Wheeling, WV 26003

Via U.S. Mail  
Bank of America, NA  
100 North Tyron Street, Suite 170  
Charlotte, NC 28202

Re: Hawthorne v. Bank of America N.A.  
Civil Action No. 1:19-cv-00129

Dear Miles Kilpatrick Hawthorne and Bank of America, NA,

I have been contacted by District Court Judge, Thomas S. Kleeh, who presided over the above-mentioned case. Judge Kleeh informed me that he has learned that while he presided over the case, his retirement fund contained stock in Bank of America, N.A. This stock ownership neither affected nor impacted his decisions in this case, which was dismissed as part of the parties' settlement on October 18, 2019, after the parties filed their Notice of Settlement on September 26, 2019. No substantive orders were entered.

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Clarksburg, WV 26302  
(304) 622-8513

P.O. Box 1518  
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217 W. King Street, Room 102  
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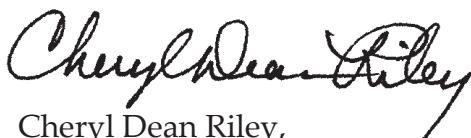
However, this stock ownership would have required recusal under the Code of Conduct for United States Judges, and thus, Judge Kleeh directed that I notify the parties of this conflict.

Advisory Opinion 71, from the Judicial Conference Codes of Conduct Committee, provides the following guidance for addressing disqualification that is not discovered until after a judge has participated in a case:

[A] judge should disclose to the parties the facts bearing on disqualification as soon as those facts are learned, even though that may occur after entry of the decision. The parties may then determine what relief they may seek and a court (without the disqualified judge) will decide the legal consequence, if any, arising from the participation of the disqualified judge in the entered decision.

Although Advisory Opinion 71 contemplated disqualification after a Court of Appeals oral argument, the Committee explained “[s]imilar considerations would apply when a judgment was entered in a district court by a judge and it is later learned that the judge was disqualified.” With Advisory Opinion 71 in mind, you are invited to respond to Judge Kleeh’s disclosure of a conflict in this case. Should you wish to respond, please submit your response in writing by filing it on the docket of this case on or before **January 14, 2022**. Any response will be considered by another judge of this court without the participation of Judge Kleeh.

Sincerely,



Cheryl Dean Riley,  
Clerk of Court